U.S. DEPARTMENT OF EDUCATION OFFICE OF POSTSECONDARY EDUCATION

INSTITUTIONAL DEVELOPMENT AND UNDERGRADUATE EDUCATION SERVICE WASHINGTON, DC 20006-8512



GUIDE FOR THE PREPARATION OF THE FINAL PERFORMANCE REPORT FOR GRANTS UNDER TITLE III – INSTITUTIONAL AID PROGRAMS

PART A

- STRENGTHENING INSTITUTIONS PROGRAM (CFDA #84.031A)
- AMERICAN INDIAN TRIBALLY CONTROLLED COLLEGES AND UNIVERSITIES PROGRAM (CFDA #84.031T)
- ALASKA NATIVE SERVING INSTITUTIONS PROGRAM (CFDA #84.031N)
- NATIVE HAWAIIAN SERVING INSTITUTIONS PROGRAM (CFDA #84.031W)
- HISPANIC SERVING INSTITUTIONS PROGRAM [FUNDED UNDER TITLE III PRIOR TO FISCAL YEAR (FY) 1999] (CFDA #84.031S)

PART B

- STRENGTHENING HISTORICALLY BLACK COLLEGES AND UNIVERSITIES PROGRAM (CFDA #84.031B)
- STRENGTHENING HISTORICALLY BLACK GRADUATE INSTITUTIONS PROGRAM (CFDA #84.031B)

PART E

MINORITY SCIENCE AND ENGINEERING IMPROVEMENT PROGRAM
[FORMERLY TITLE X-PART B-MINORITY SCIENCE IMPROVEMENT PROGRAM]
(CFDA #84.120A)

OMB No. 1840-0764 EXPIRATION DATE: 08/31/2004

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DISCLOSURE OF BURDEN STATEMENT

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0764. The time required to complete this information collection is estimated to be an average of 10 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, write directly to: Institutional Development and Undergraduate Education Service, U.S. Department of Education, 1990 K Street, NW, 6th Floor, Washington, DC 20006-8512.

October 1, 2003

Dear Project Director:

Some time ago, we awarded your institution/organization the first year of a three-year or five-year grant under Title III of the Higher Education Act (HEA) of 1965, as amended. On September 30, 2003, many of you will have completed the three-year or five-year grant period; however, some of you may have completed it earlier. Under the terms of the grant, your institution/organization is required to submit a Final Performance Report containing an evaluation of the activities and accomplishments funded by the program. This report is due within ninety (90) days after the end of the final budget period of the grant.

To assist in the preparation of the Final Performance Report, we are pleased to provide this booklet, which includes the required forms and instructions for complying with the Department's reporting requirements. For more details, see Section 74.50-53 of the Education Department General Administrative Regulations [EDGAR].

This booklet is for grantees under the following programs:

- Strengthening Institutions;
- American Indian Tribally Controlled Colleges and Universities;
- Alaska Native Serving Institutions;
- Native Hawaiian Serving Institutions;
- Strengthening Historically Black Colleges and Universities;
- Strengthening Historically Black Graduate Institutions;
- The Minority Science and Engineering Improvement (formerly Minority Science Improvement Program under Title X Part B of the HEA of 1965, as amended)
- Hispanic Serving Institutions (funded by Title III prior to Fiscal Year (FY) 1999).

I am taking this opportunity to call your attention to the Government Performance and Results Act (GPRA). GPRA was enacted by Congress in 1993 and requires that all federal agencies improve their accountability for expenditure of public funds. Federal agencies assist in the improvement of Congressional decision-making by providing more objective and quantitative information on the effectiveness of Federal programs.

The Department has determined that indicators based on academic quality, institutional management, fiscal stability, and student success would yield the best evidence of Title III program effectiveness. The performance indicators, as formulated by the Department, examine the impact of activities supported by the grant that implemented faculty development, access to technology, establishment or improving development offices, fiscal balances, minority student access, degree attainment and development/ improvement of the physical plant. We expect to receive useful information of the impact of the Title III grant award on your institution/ organization.

In order for us to comply with GPRA, we ask that you include in your Final Performance Report the most accurate qualitative and quantitative data available. Your cooperation will help in preparing more comprehensive reports for the Congress that reflect the Department's priorities, service delivery, accomplishments and customer satisfaction.

Please send an original and one copy of the completed report no later than December 29, 2003, by regular mail or by a courier service to:

Title III Programs/Final Performance Report Institutional Development and Undergraduate Education Service U.S. Department of Education 1990 K Street, NW, 6th Floor Washington, DC 20006-8512

This document may be downloaded from the Institutional Development and Undergraduate Education Service (IDUES) Web site at http://www.ed.gov/programs/iduestitle3a/index.html. Currently, IDUES does not have the capabilities to receive this report via electronic submission. When the electronic facilities are ready, IDUES program managers will inform you about the option of electronic submission and will provide the necessary instructions for the effective utilization of the system.

Any questions or comments regarding the instructions and completion of the report should be directed to the program officer assigned to your grant. A listing of program officers, their telephone numbers and e-mail addresses, and their respective assignments may be found in the appendix of this booklet.

Sincerely,

Hubert Davis
Director
Institutional Development and
Undergraduate Education Service

THE GOVERNMENT PERFORMANCE AND RESULTS ACT (GPRA)

What is GPRA?

The Government Performance and Results Act of 1993 (GPRA) is a straightforward statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report its progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

How has the Department of Education responded to the GPRA requirements? As required by GPRA, the Department has prepared a strategic plan for 1999-2001. This plan reflects the Department's priorities and integrates them with its mission and program legislative authorities. They describe how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listed in the plan, are:

Goal 1: To help all students reach challenging academic standards so that

they are prepared for responsible citizenship; further learning, and

projective employment.

Goal 2: To build a solid foundation for learning for all children.

Goal 3: To ensure access to postsecondary education and lifelong learning.

Goal 4: To make the Department of Education a high performance

organization by focusing on results, service quality, and customer

satisfaction.

The performance indicators for the Title III – Institutional Aid Programs are part of the Department's plan for meeting Goal 3: Ensure access to postsecondary education and lifelong learning.

What are the performance indicators for the Title III – Institutional Aid Programs?

The Department's goal for the Title III Programs is "to equalize educational opportunity." The specific performance indicators for the Title III Programs are as follows:

Objective #1: To improve the academic quality of participating institutions.

<u>Indicator 1.1</u> Specialized accreditation: The percentage of Title III and Title V project goals relating to the improvement of academic quality that are met or exceeded will increase or be maintained over time.

<u>Indicator 1.2</u> Graduation rates: Completion rates for full-time, degree-seeking students in Title III 4-year and 2-year colleges will increase over time.

Objective #2: To improve the fiscal stability of participating institutions.

<u>Indicator: 2.1</u> Fiscal Balances: Over 90 percent of Title III-funded institutions will continue to maintain a positive fiscal balance.

Indicator:2.2. Endowment: The percent of Title III institutions with endowments will increase over time.

INTRODUCTION AND INSTRUCTIONS FOR COMPLETING THE REPORT

The overall purpose of the Title III Final Performance Report is to document and collect information, that reflects the long-term impact of the funded projects on the grantees' overall development, academic accomplishments and financial stability. The information collected also is used by the Department to make judgements about future funding of applications submitted by former Title III grantees. (34 CFR, Part 607, Section 607.24.)

The Final Performance Report is intended to demonstrate to Congress and the public how Title III funds have strengthened the institution/organization and made it better able to serve the target population effectively. This information is a factor when Congress considers the annual funding for the Title III programs.

The diversity of the Title III activities, as well as the multiplicity of goals expressed in the authorizing legislation, makes it difficult to aggregate data in a way that could document the overall program's outcomes. Therefore, in order to facilitate the review, analysis and evaluation of the data and information, the final performance report consist of four (4) major components:

Part I: Areas of Improvement;

Part II: Quantitative Component;

Part III: Qualitative Component: Narrative; and

Part IV: Suggestions, Recommendations and Additional Comments (optional).

In each of the annual progress reports, we asked you to report on whether you had successfully accomplished the objectives outlined and approved in your project's original application, and if not, why. Now, at the end of your grant, we are asking you to report your accomplishments. We have designed the report forms so that we can easily aggregate the information for reporting purposes and to reduce the burden on you.

Grantees who have implemented simultaneously two (2) or more projects must submit separate reports for each grant.

PART I

AREAS OF IMPROVEMENT

Planning grants (Title III-Part A) and Design Projects (MSEIP) grantees do not complete Part I.

Part I covers four Areas of Improvement in which you may have undertaken allowable activities in order to further develop your organization. The Areas of Improvement are listed across the form and the allowable activities are listed on the left side of the form.

Please indicate by filling in the bubbles the **Allowable Activities** you undertook and the **Area of Improvement** in which the activity was undertaken. For example, if you renovated classrooms, it is likely that you did so to improve an Academic Program. You would fill in the bubble across from allowable activity--Renovation of Classroom-- and under the Area of Academic Programs. If your purpose for the renovation also was to improve student services, you would fill in that bubble too. In the far right-hand column, please indicate the total amount you expended on the activity over the grant period.

PART II

QUANTITATIVE COMPONENT

Please respond to the questions in a complete and accurate manner. If the information is not available, please mark N/AV in space provided. If the question does not apply to your particular project, please make N/AP in space provided.

PARTS III & IV (see pages 10 and 11)

QUALITATIVE COMPONENT: NARRATIVE

TITLE III FINAL REPORT

IMPORTANT NOTE: Use a #2 pencil only, fill the box completely and make proper marks.

Scholarships (HBGI ONLY)

PART I

Proper Mark: Proper Marks:

AREAS OF IMPROVEMENT

	Administrative Management	Fiscal Management	Academic Programs	Student Services	\$ Expended Over 5 years
		ALLOWABLE ACTIVITI	ES		•
Academic Program Start Up (new program development)					
Academic Program Enhancement					
Construction of Classrooms					
Construction of Library					
Other Construction					
Equipment Purchase					
Establish Development Office/Fundrai	ising				
Enhance Development Office/Fundrais	sing				
Renovation of Library					
Renovation of Classrooms					
Renovation of Other Facilities					
Facilities Sharing					
Funds Management					
Establish Endowment Fund					
Increase Endowment Fund					
Faculty Development for Terminal De	egrees				
Faculty/Staff Development for Academic Instruction					
Library EnhancementAdd Volumes					
Library EnhancementStaff					
Library EnhancementTechnology					
Distance Learning					
Student Services					

PART II

QUANTITATIVE COMPONENT

Please respond to the questions in a complete and accurate manner. If the information is not available, please mark N/AV in space provided. If the question does not apply to your particular project, please mark N/AP in space provided.

1.	What percent of your institution%	n's total operating budget (N/AV) represent?
2.	If you sought to establish or in at the end of your grant? \$%	ncrease your endowment, w What percent of N/AV	what was your the endowme N/AP	total endowment at the star ent fund is represented by Ti	rt of your grant? \$itle III?
3.	How many new programs or o	courses did you develop wi			
4.	Did Title III funds assist you to	gain one or more program YES	n accreditation NO	s that you did not have before N/AP	ore the grant?
5.	If so, how many new program a 1	accreditations have you gain 2			?
6.	Did your organization use Tit graduation?	le III funds to implement a		ned to retain students from N/AP	one year to the next through
7.	Was the retention activity foc			1V/AI	
		YES	NO	N/AP	
		ulation(s) targeted: Freshr		ophomore, Junior _	, Senior,
8.	Was the student retention acti	vity focused on specific de	epartment(s) o	r program(s) of the organiza	ation?
		YES	NO	N/AP	
9.	If the student retention activity or program? Name of Department Retention at the beginnin Retention at the end of the		_	ogram, what was the retention of Program_tention at the beginning of tention at the end of the gra	_
10	of the grant?%	d to the organization as a v	vhole, what w		
11	. If the retention activity applie of the grant?%	d to the organization as a v N/AV		as the organization's retenti AP	on rate at the end
12	. What percentage of your facul	ty (full and part-time) received N/AV	_	with Title III funds over the AP	grant period?%
13	. How many full-time faculty d	oes your organization have	e? N/	AV N/AP	
14	. How many full-time faculty w	ere trained with Title III fu N/AV		ife of the grant?AP	
15	. If your Title III funds have be development component, how			duate or professional schoo	•

PART III

QUALITATIVE COMPONENT: NARRATIVE

Planning Grant and Design Project grantees should include under this component the answers to the following questions:

1.	What administrative units and academic departments were reviewed to identify critical problems that could lead to the formulation of an application for a development grant?			
2.	What specific tasks did you implement to prioritize the problems and identify the resources needed to improve your institution?			
3.	(a) At the conclusion of your planning grant, did you submit any Title III applications? If so, was your application funded?			
	Yes No N/AP			
	(b) At the conclusion of your design project, did you submit a MSEIP application to improve your institution's science and technology education programs? If so, was you application funded?			
	Vos No N/AD			

All other grantees, including MSEIP grantees, should describe the overall impact of their Title III grant in achieving the objectives of the Title III Program. Please limit your narrative to two (2) pages.

PART IV (optional)

SUGGESTIONS, RECOMMENDATIONS AND ADDITIONAL COMMENTS

INSTITUTIONAL DEVELOPMENT AND UNDERGRADUATE EDUCATION SERVICE ISSUES OF MAJOR CONCERN ARE:

- Ways in which the Title III Programs might improve services to the eligible institutions/organizations.
- More effective ways for the programs to increase cooperation and collaboration between and among Title III-eligible institutions/organizations through cooperative agreement grants or partnerships with other federal or non-federal organizations, including those in the private sector.
- Improved on-going communication between Title III program officers and grantees.
- Development of effectively structured mechanisms for networking, dissemination of projects' accomplishments, and nation-wide recognition of exemplary projects funded by Title III. In addressing this concern, please, keep in mind that the Institutional Development and Undergraduate Education Service envisions substantial collaboration between grantee organizations and Title III Program staff in the implementation of these activities. Please limit your statement to two (2) pages.

APPENDIX

Part A – Strengthening Institutions Program Final Performance Report

Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

A.	Identification		
1.	PR/Award Number:		
2.	Name of Grantee:		
3.	Address (City, State, Zip):		
4.	Name of Project Director/Contact Person:		
5.	Phone Number:	FAX:	
	Email Address:		
	Report Period:	to	
	Month/Day/Year	Month/Day/Year	r
8.	Type of Grant (Mark with an X): Cooperative:	Development:	
	Planning: (Please submit	separate reports for each grant)	
8.	Total Amount Awarded: \$		
В.	Certification: We certify that the information report to the best of our knowledge.	rted herein is accurate, complete, and	readily verifiable
	Name of Project Director (Print)	Name of Certifying Offic	ial (Print)
	Signature and Date	Signature and Date	
C.	Warning: Further Federal funds or other benefits completed and filed as required by existing law (20, 75.720).		

Authority: Public Law 102-325, as amended.

Part A – American Indian Tribally Controlled Colleges and Universities Program

Final Performance Report

Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number:	FAX:
	Email Address:	
6.	Report Period:Month/Day/Year	to Month/Day/Year
8.	Type of Grant (Mark with an X): Cooperative:	
	Planning: (Please submit se	eparate reports for each grant)
9.	Total Amount Awarded: \$	
В.	Certification: We certify that the information report to the best of our knowledge.	ed herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date
c.	Warning: Further Federal funds or other benefits matcompleted and filed as required by existing law (20 U	by be withheld under this program unless this report is J.S.C. 1231a) and regulations (34CFR 75.590 and

Authority: Public Law 102-325, as amended.

75.720).

Part A – Alaska Native Serving Institutions Program Final Performance Report Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

Authority: Public Law 102-325, as amended.

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number:	FAX:
	Email Address:	
6.	Report Period:	to
	Month/Day/Year	Month/Day/Year
8.	Type of Grant (Mark with an X): Cooperative:	Development:
	Planning: (Please submit so	eparate reports for each grant)
9.	Total Amount Awarded: \$	<u> </u>
В.	Certification: We certify that the information report to the best of our knowledge.	ed herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date
C.	Warning: Further Federal funds or other benefits macompleted and filed as required by existing law (20 to 75,720).	

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Part A – Native Hawaiian Serving Institutions Program Final Performance Report Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

Α.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number:	FAX:
	Email Address:	
6.	Report Period:Month/Day/Year	to Month/Day/Year
8.		Development:
	Planning: (Please submit s	eparate reports for each grant)
9.	Total Amount Awarded: \$	
В.	Certification: We certify that the information report to the best of our knowledge.	ted herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date
С.	Warning: Further Federal funds or other benefits me completed and filed as required by existing law (20)	ay be withheld under this program unless this report is U.S.C. 1231a) and regulations (34CFR 75.590 and

Authority: Public Law 102-325, as amended.

75.720).

Part A – Strengthening Hispanic Serving Institutions Program Final Performance Report

Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number:	FAX:
	Email Address:	
6.	Report Period:Month/Day/Year	to Month/Day/Year
7.	Type of Grant (Mark with an X): Cooperative:	Development:
	Planning: (Please submit	separate reports for each grant)
8.	Total Amount Awarded: \$	<u></u>
В.	Certification: We certify that the information repo to the best of our knowledge.	rted herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date

C. Warning: Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720).

Authority: Public Law 102-325, as amended.

Part B – Strengthening Historically Black Colleges and Universities Program Final Performance Report

Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

Α.	Identification		
1.	PR/Award Number:		
2.	Name of Grantee:		
3.	Address (City, State, Zip):		
4.	Name of Project Director/Contact Person:		
5.	Phone Number:	_ FAX:	
	Email Address:		
6.	Report Period:Month/Day/Year	to	Month/Day/Year
8.	Total Amount Awarded: \$		
В.	Certification: We certify that the information repto the best of our knowledge.	orted here	in is accurate, complete, and readily verifiable
	Name of Project Director (Print)		Name of Certifying Official (Print)
	Signature and Date		Signature and Date
C.	Warning: Further Federal funds or other benefits	may be w	rithheld under this program unless this report is

Authority: Public Law 102-325, as amended.

75.720).

completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and

Part B – Strengthening Historically Black Graduate Institutions Program Final Performance Report Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

A.	Identification	
1.	PR/Award Number:	
2.	Name of Grantee:	
3.	Address (City, State, Zip):	
4.	Name of Project Director/Contact Person:	
5.	Phone Number:	FAX:
	Email Address:	
6.	Report Period: Month/Day/Year	to Month/Day/Year
8.	Total Amount Awarded: \$	
В.	Certification: We certify that the information re to the best of our knowledge.	ported herein is accurate, complete, and readily verifiable
	Name of Project Director (Print)	Name of Certifying Official (Print)
	Signature and Date	Signature and Date
C.	9	s may be withheld under this program unless this report is 20 U.S.C. 1231a) and regulations (34CFR 75.590 and

Authority: Public Law 102-325, as amended.

Part E – Minority Science and Engineering Improvement Program Final Performance Report Institutional Certification (Cover Sheet)

PROGRAM:

Section I: Project Identification, Certification and Warning

A.	Identification		
1.	PR/Award Number:		
2.	Name of Grantee:		
3.	Address (City, State, Zip):		
4.	Name of Project Director/Contact Person:		
5.	Phone Number:	FAX:	
	Email Address:		
6.	Report Period:Month/Day/Year	_ toMont	h/Day/Year
8.	Type of Grant (Mark with an X): Cooperative:	Institutional:	
	Design:(Please subm	Special: separate reports for each type of gra	ant)
9.	Total Amount Awarded: \$		
В.	Certification: We certify that the information repto the best of our knowledge.	orted herein is accurate, complete, ar	nd readily verifiable
	Name of Project Director (Print)	Name of Certifying Off	icial (Print)
	Signature and Date	Signature and Date	

C. Warning: Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C. 1231a) and regulations (34CFR 75.590 and 75.720). Authority: Public Law 102-325, as amended.